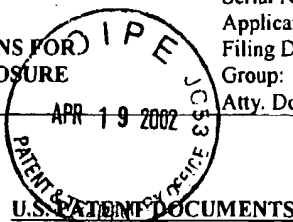


In Place of FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS' INFORMATION DISCLOSURE STATEMENT

Serial No.: 10/033,050
 Applicants: Richard E. Smalley et al.
 Filing Date: December 28, 2001
 Group: 1754
 Atty. Docket No.: 11321-P012USD5



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Reference Designation

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
AAA						

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
SLA ABA	EP 1 176 234 A2	12/05/1993	European			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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SLA ACA	LI, <i>et al.</i> , "Large-Scale Synthesis of Aligned Carbon Nanotubes," <i>Science</i> , Volume 274, December 6, 1996, pp. 1701-1703.
SLA ADA	LIU, <i>et al.</i> , "Fullerene Pipes," <i>Science</i> , Volume 280, May 22, 1998, pp. 1253-1256.
SLA AEA	THESS, <i>et al.</i> , "Crystalline Ropes of Metallic Carbon Nanotubes," <i>Science</i> , Volume 273, July 26, 1996, pp. 483-487.
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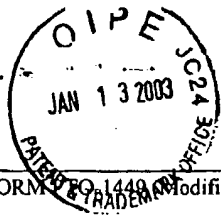
Examiner:

Date Considered:

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3/15/04

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Use of FORM 1449 (Modified)

Serial No.: 10/033,050
Applicants: Richard E. Smalley et al.
Filing Date: December 28, 2001
Group: 1754
Atty. Docket No.: 11321-P012USD5

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANTS' INFORMATION DISCLOSURE
STATEMENT**

Reference Designation

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
<u>SLD</u> AAA	5,698,175	12/16/1997	Hiura et al.	423	447.1	
ABA						
ACA						

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner
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Examiner:

H. Anderson

Date Considered: 3/15/04

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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